



Reassessing Bronze Age Metallurgy in Upland Southwest China on the Basis of Excavations at Longbohe, Yunnan

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Abstract

Longbohe is a newly discovered copper mining, smelting and production site located strategically beside the Red River on the China–Vietnam border in Southeast Yunnan, China. Recent excavations have dated it from the second half of the second millennium to the end of the first millennium BC, making it the earliest copper mining and processing site in Upland Southwest China and Southeast Asia. The metallurgical production tradition in Upland Southwest China and Southeast Asia is recognized and detailed with reference to the *chaîne opératoire* revealed at the Longbohe site. The location of Longbohe provides evidence for a route along which metallurgy was introduced from Southwest China into Southeast Asia. Current evidence further suggests that metallurgy probably arrived on the Yunnan–Guizhou Plateau along the eastern Qinghai–Tibet Plateau corridors, and then entered Southeast Asia along south-flowing rivers. The Longbohe site is thus a most significant find in the southward dissemination of metallurgical technological systems into Southwest China and Southeast Asia in the later second millennium BC.

Keywords Archaeometallurgy · Longbohe · Copper smelting · Southeast Asia · Upland Southwest China · Bronze Age

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Introduction

Upland Southwest China (USC) comprises the Western Sichuan Plateau, the Yunnan–Guizhou Plateau and Western Lingnan. Yunnan Province, located in southwest USC, borders Vietnam, Laos and Myanmar in Mainland Southeast Asia (MSEA). It is a transition zone between the high-altitude eastern Qinghai–Tibet Plateau and MSEA. The excavation of Longbohe (LBH) in 2017–2019 by Sichuan University and the Yunnan Provincial Institute of Cultural Relics and Archaeology led to the discovery and dating of a key new copper mining, smelting and production complex that illuminates the penetration of metallurgical knowledge into Southwest China and beyond into Southeast Asia.

Longbohe lies on the right bank of the Red River, a highly strategic location that links two regions where the adoption of copper-base metallurgy is now well documented. To the north lies the Yunnan upland where several sites, such as Jicha, Haimenkou and Hebosuo now document the establishment of copper/bronze casting by 1600–1300 BC (Fu et al., 2024; Yao et al., 2020). Downstream one enters the broad expanse of the delta and the sites of the Đông Đậu and Gò Mun Bronze Age in 1300–700 BC (Pryce, 2022). Later, the Dian chiefdom developed in Yunnan and the Đông Sơn culture in Vietnam entered the Iron Age around 400–200 BC (Yao, 2016). This location is not only a choke point for the exchange of goods and ideas, but also commands rich veins of copper ore. It plays a role in bridging USC and MSEA (Fig. 1).

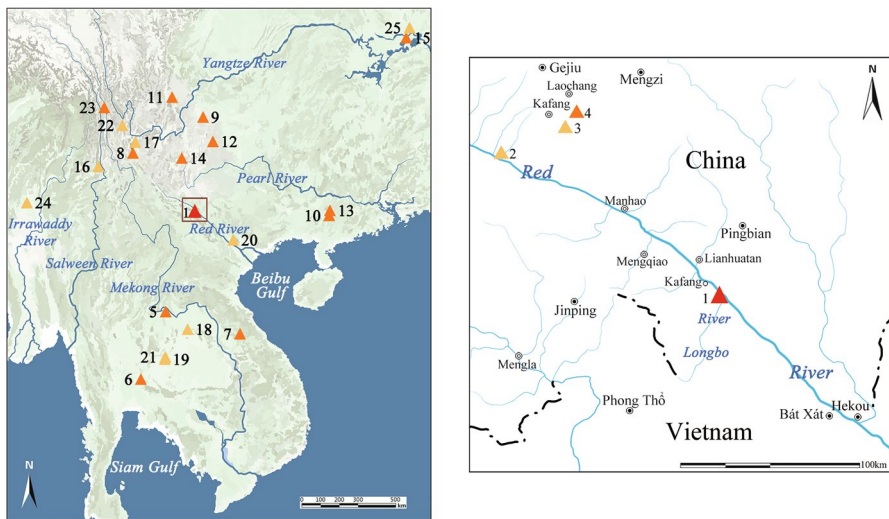


Fig. 1 Maps showing the location of LBH and other sites mentioned in the text: (1) LBH; (2) Mayutian cemetery; (3) heimajin cemetery; (4) Chongzipipo; (5) Phu Lon; (6) Khao wong Prachan valley, including Non Pa Wai and Nil Kham Haeng; (7) Vilabouly; (8) Hejiashan; (9) Dashan; (10) Tongshiling; (11) Dongping; (12) Tonggushan; (13) Liuchen and Luoxiu; (14) Jiangedi and Hebosuo; (15) Tonglushan; (16) Xiaolongjingpo; (17) Fengqingdao cemetery; (18) Ban Chiang; (19) Ban Lum Khao; (20) Phùng Nguyên, Đông Đậu and Gò Mun; (21) Ban Non Wat; (22) Haimenkou; (23) Jicha; (24) Nyaung'gan, Oakaie and Halin; (25) Panlongcheng

Chinese scholars have hitherto focused mainly on the construction of regional cultural types and the chronological framework (Peng, 2008; Yang, 2011). Yao introduced some of the materials from the perspective of the empire frontier (Yao, 2016). In terms of the research on metallurgical technology, excavated metal artifacts have been the primary focus (Li & Han, 2011; Yuan & Li, 2018), with little attention paid to mining and processing sites. Recent research into the Bronze Age of MSEA has concentrated, for the most part, on issues of chronology and transmission routes that involve two incompatible models (Pryce, 2014, 2018) (Fig. 23). Charles Higham and colleagues have proposed the ‘short chronology’ (Ciarla, 2007a; C. Higham et al., 2015; C. Higham & T. Higham, 2009; C. Higham, T. Higham, Ciarla et al. 2011a; C. Higham, T. Higham, & Kijngam 2011b; T. Higham et al., 2020; Pigott & Ciarla, 2007; Pryce, 2011). This model is based on radiocarbon determinations on charcoal, rice, human bone, shell and millet from multiple sites, which support the argument that the Steppe technology, using bivalve moulds with suspended cores to cast hollow-socketed tools and weapons, was transmitted south into MSEA through the highly sophisticated shang culture from the central plains and subsequently via the Lingnan area in the late second millennium BC. In contrast, Joyce White and Elizabeth Hamilton put forward the ‘long chronology’ on the basis of radiocarbon determinations on organic ceramic temper from Ban Chiang and other supporting data (White, 2008; White & Hamilton, 2009, 2018, 2019). They argue that the metallurgy in MSEA was immediately derived from the Seima-Turbino metalworking traditions on the eastern Eurasian Steppe, by practitioners moving through the eastern piedmont of the Qinghai–Tibet Plateau and into Yunnan and then to the site of Ban Chiang in Thailand by c. 2000–1800 BC.

There are 10 well-defined Bronze and early Iron Age sites related to metallurgical production in USC (Fig. 1).

- The newly excavated **Jicha** site, strategically located on the bank of the upper Mekong River, was a settlement site with specialized metallurgical area, where in-ground furnaces, *tuyères*, and moulds were found dated to at least 700–300 BC (Fu et al., 2024).
- **Dashan** (400–100 BC) and **Tongshiling** (100 BC–AD 100) were copper-smelting sites that employed in-ground furnaces (Li et al., 2007; Qin, 1985; Sun et al., 1986; Yin, 1993).
- **Dongping** (50 BC– AD 200) was a government-controlled copper-smelting and coin-casting site that utilized shaft furnaces in the Xinmang Period and the Eastern Han Dynasty (AD 8–220) (Xichang, 1977; Liu & Zhang, 1990; Lin & Zhang, 1994; Ren & Hu, 2006).
- **Tonggushan** (400–1 BC) was a copper-casting site that might belong to the Yelang culture (Cheng, 1993; Liu & Xiong, 1993).
- **Liuchen** (300 BC–AD 400) and **Luoxiu** (200 BC–AD 800) were two sites where in-ground furnaces were employed to produce bloomery iron (Zheng, 1990; Huang & Li, 2008; Meng, 2015; Zhang et al., 2020; Zou et al., 2022).
- **Chongzipipo** (AD 1–200) was a probable tin and copper smelting site that used in-ground furnaces (Dai & Zhang, 1998).

- **Hejiashan** (400–300 BC) yielded a smith's burial where bronzes, moulds and animal-head-shaped *tuyères* were buried (Zhang, 2000).
- **Jiangedi** (100–1 BC) was a metallurgical hoard that might belong to the Dian chiefdom, where bronze, iron and bimetallic bronze-iron artifacts and metal raw material were uncovered (Hu, 2016).

However, these related sites did not offer much evidence on certain questions. These sites are nearly all dated to the late first millennium BC or early first millennium AD, which is somewhat late for discussing technical traditions and their origin. Due to the limitations of current fieldwork and the excavated sites themselves, the technical information they provided was quite fragmented, making it hard to draw conclusions.

In the context of the Bronze Age, the region neighbouring USC followed a radically different track. To the west, the dispersed 'Copper Hoard culture' communities were active in drainage of the Brahmaputra River and the Ganges River (Yule, 1997). Hitherto, the technical influence of the Copper Hoard culture has not been observed in USC and MSEA (Pryce, 2014; Pryce et al., 2022), although some copper flat axes have emerged in southwestern USC (Fu et al. in prep.). Turning to the Central Plains, the highly prosperous Shang and Zhou dynasties forged a sophisticated sacrificial bronze vessel tradition; meanwhile, bronze production was subject to strict governmental control (Chang, 1980; Falkenhausen, 2006). Tonglushan, where deep mine shafts, galleries, furnaces and tools have been excavated (Huangshi, 1999), is a representative Shang and Zhou dynasty metallurgical site beginning in 1200 BC and located in the middle reaches of the Yangtze River (Liu et al., 2019).

Only three early copper-production sites are known in MSEA. A complete complex from mines through smelting to casting has been identified in the Khao Wong Prachan Valley of Central Thailand. A new dating initiative on the smelting and casting sites of Non Pa Wai and Nil Kham Haeng has shown that this activity began in the later second millennium BC (Ciarla, 2007b, 2008; T. Higham et al., 2020; Pigott, 2019; Pryce et al., 2011; Rispoli et al., 2013). Phu Lon is a mining complex with smelting evidence dating to the first millennium BC beside the Mekong River in Northeast Thailand (Natapintu, 1988; Pigott & Weisgerber, 1998; Pigott, 1988a, 1988b, 2019). The Vilabouly Complex is an upland location at Sepon in Laos (Cadet et al., 2019, 2021; Tucci et al., 2014). Ore processing at all three took place in the vicinity of the mines, with refractory technological systems that involved crucibles with lagging. Copper and binary tin-bronze alloying, lost-wax and bivalve-mould casting were employed. It appears that production was organized in small decentralized units and their output incorporated ingots, axes, spears, fishhooks, arrowheads, bangles, anklets and bells.

These production centres were integrated with consumer sites located in lowland areas suited to rice production. Limited excavations at sites such as Ban Chiang, Non Nok Tha and Ban Na Di uncovered burials in which the dead were interred with few mortuary offerings (C. Higham, 1996; White & Hamilton, 2009). Recent re-dating of Non Nok Tha and Ban Chiang has placed the transition from the Neolithic into the initial Bronze Age at c. 1100–1000 BC (C. Higham et al., 2015). In the central plain of Myanmar, this transition has been dated to c. 1000 BC at Oakaie

(Pradier, 2019), while other key early sites are Nyaung'gan and Halin (Pryce et al., 2018).

The extensive excavation of Ban Non Wat, however, has revealed a six-phase Bronze Age sequence involving an early and exclusive enclave of remarkably wealthy 'aggrandizer' burials, with quantities of exotic shell, marble and copper-base mortuary offerings. This outstanding wealth was ephemeral, lasting for about six to eight generations between 1050 and 850 BC (C. Higham, 2022; C. Higham & Cawte, 2021; C. Higham & Kijngam, 2012).

Through his analyses of lead isotope signatures, T. O. Pryce (e.g., Pryce & Pigott, 2022) has been able to link the copper from some of these sites to their ore sources. By c. 1000 BC, copper was moved over considerable distances, from Vilabouly to Ban Chiang and Myanmar, from the Khao Wong Prachan Valley mines to Ban Non Wat, and from Yunnan into Myanmar. It is clearly of the utmost importance to incorporate the copper from Longbohe into this network.

The Excavation of Longbohe (LBH)

With finds of 28 in situ in-ground furnaces, LBH fills an important gap in our knowledge of prehistoric copper production in USC and MSEA. These were mainly bowl furnaces—with a unique, sandy clay lining applied to the base, and include one dated to c. 1400 BC. In addition, a considerable number of tools for mining, ore processing and metal casting, as well as production waste including slag and fragments of burnt clay, were unearthed. These have helped us to reconstruct the metallurgical technology.

LBH offers a point of reference for reviewing regional excavated metallurgical sites, and on this basis, we can present our interpretation of the 'upland mode' of production involving their location, layout and technology, which in turn provides valuable information for further fieldwork. LBH also affords hints on the emergence and transmission of copper-base metallurgy in USC and MSEA, which, it will be argued, tend to support the upland route of the 'long chronology' but the younger date of the 'short chronology'.

In an area of about 6 km², 14 locations and several copper mines have been identified. Locations are scattered across the gentle and open terrain on a ridge, with areas varying from approximately 2000–10,000 m² (Fig. 2). Locations A and B were discovered in 2004. The former lies in a gully at the foot of the LBH mine on the west bank of the Red River, named Laohuchong (Tiger Gully). Six copper artifacts were collected there, including a curved-bladed sword, a heart-shaped hoe, a buckle, a chisel, a boot-shaped axe and a shouldered axe (Yang, 2006).

In 2019, 11 trenches were excavated at Locations 1, 2 and 3, covering an area of 518 m², the deposit in Location 3 being the most informative (Fig. 3). The stratum of Location 3 comprises five layers. Layers 1 and 2 are modern. Layer 3 is a compact brown clay, containing a small quantity of burnt clay and charcoal fragments, potsherds, stoneware, slag and shaped burnt clay. Layer 4 is a loose black sandy clay, containing burnt clay and charcoal, potsherds, stoneware, slag and shaped burnt clay. Layer 5, covering only a small area, is a slightly compact brown clay,

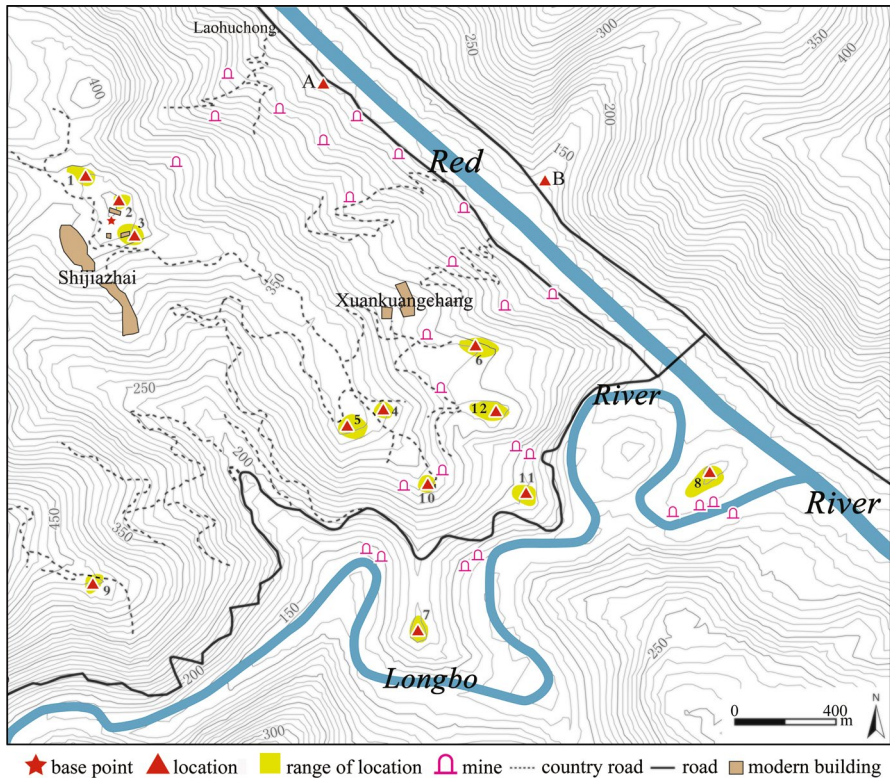


Fig. 2 Map showing locations within LBH

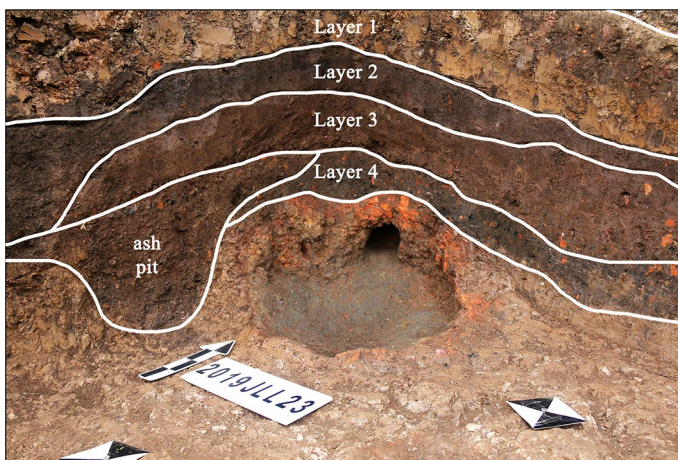


Fig. 3 View of the northwest corner of Unit TS7E7, showing Layers 1–4 (Layer 5 is absent) and a furnace sealed by Layer 4

containing burnt clay nodules, potsherds, slag and shaped burnt clay. Smelting activity was observed on the surface of Layer 5 and virgin soil.

Evidence for metallurgical activities was concentrated at Location 3 and included pits, furnaces and postholes cut through the natural substrate. Ceramic and stone moulds were encountered in pits.

Twenty-eight in-ground furnaces—the best-defined and richest collection of early in-ground furnaces yet encountered in China and SEA—were identified on the gentle slope near the hilltop. These differ from the shaft furnaces of the Central Plains during the spring and autumn period (Huangshi, 1999). Most furnaces were well preserved, and their scorched clay structures are clearly visible, including blowing grooves, hearths, lining (also lagging) and discharging gaps. Some have ancillary facilities, like charging pits, ingot-casting pits, operating platforms and surrounding postholes.

Nearly 300 ceramic, stone and copper artifacts were found in the 2019 excavation. Ceramic remains include vessels, spindle whorls, standers and moulds. The pottery was hand-made, using sandy clay, and was fired at a relatively low temperature (Wan, 2018). About half of the vessels were decorated with cord-marking, *appliqué*, incised patterns or a combination of these. The stone implements include pitted hammerstones, trapezoidal hammers, grinding stones and moulds. The pitted hammerstones are the most common. A small broken tube was the only copper-base artifact recovered.

A number of vertical and horizontal mineshafts were discovered, mostly interspersed with the smelting locations (Fig. 4). Pitted hammerstones and fire-setting remains were encountered in some of the mineshafts.

Ore Mining, Processing and Fuel Preparation

More than 50 pitted hammerstones were unearthed or collected, accounting for the vast majority of stone artefacts (Fig. 5). These are oblate stone tools with a concave surface, mostly made of river cobbles with an appropriate shape. A typical specimen has an oval cross section, with a pit on both the broad surfaces symmetrically. They are shaped as half-ovals, rounds and rounded rectangles, with dense pecking marks visible on the narrow edges of most examples, indicating that this type of stone tool was mainly used for ore crushing by hand against some sort of stone anvil. They were encountered in both mineshafts and smelting locations, and are clearly related to mineral mining and processing.

There are also differences in the shape of the pits on the broad surface, which can be divided into three types: Type A, the pits formed by pecking; Type B, the large shallow pits formed by grinding; and Type C, the smaller but deeper pits formed by pecking and grinding (Fig. 5). The second type can be used cooperatively with the stone balls or grinders to grind ore. Those pitted hammerstones were adapted for ore preparation from mining to grinding. Two large sandstone hammers with a trapezoidal section were also unearthed (Fig. 6). This type has a narrow subface with slight



Fig. 4 Mines in LBH site: (1) below Location 3; (2) below Location 11 and Location 12; (3) below Location 8, across the Longbo River in Vietnam; (4) modern, below Location 3 but upon the Laohu-chong

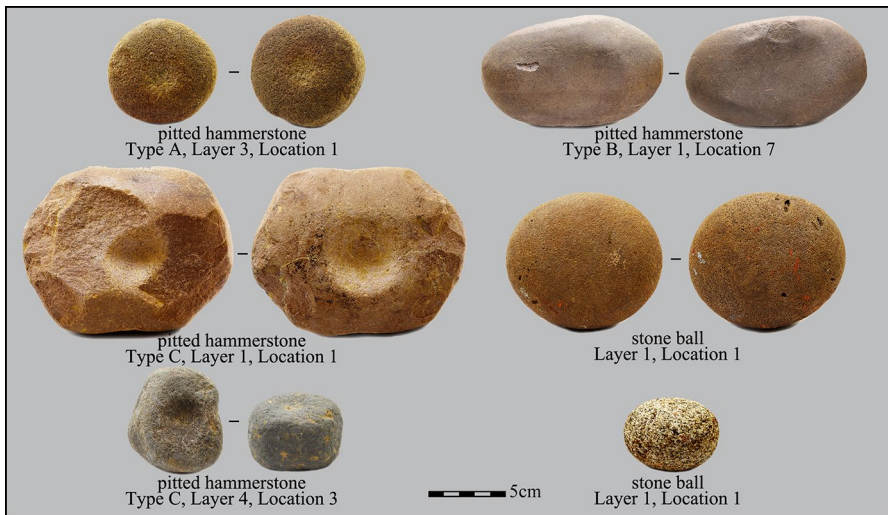


Fig. 5 Stone tools for mining and processing unearthed at LBH



Fig. 6 Trapezoidal sandstone hammers unearthed at LBH

impact marks and there are symmetrical pits near the slanted edge of each broad surface.

Furnace L27 and charging pit K1 were encountered at Location 3, which revealed the smelting fuel used at LBH (Fig. 7). The K1 pit has a long diameter of 74 cm, a short diameter of 50 cm and a depth of 20 cm. Lumps of principally *Castanopsis* charcoal were stacked in the pit, with a regular length of 1–3 cm.

Furnaces

The LBH furnaces are mainly of the in-ground type, dug on the slopes near the hill-top, then walled with fine clay and sintered. There are three types (Table 1).

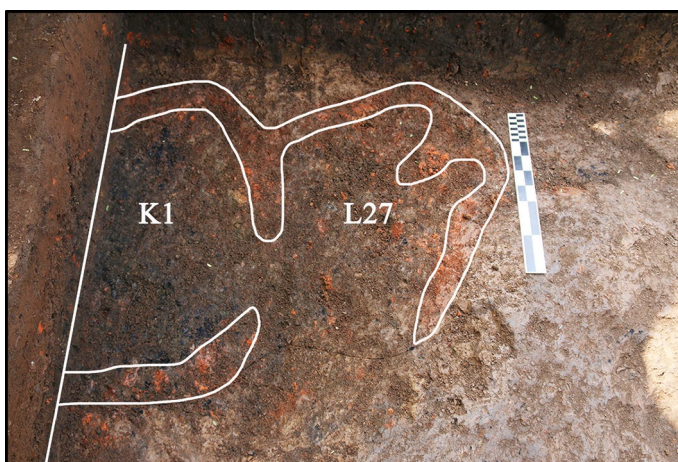
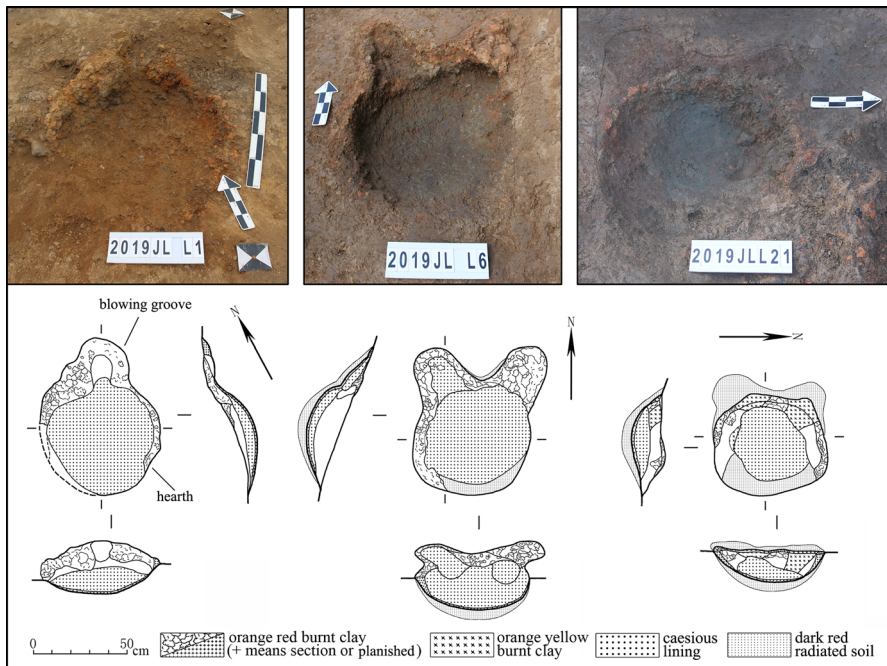


Fig. 7 View of the charcoal pit (K1) and furnace (L27) before cleaning. The circled regions are the burnt clay walls

Table 1 LBH furnaces mentioned in the text

Field No	Layer, Location	Slope (°)	Superstructure (length, width, depth) (cm)	Hearth (diameter/ length, width, depth) (cm)
2019L1	Surface, 1	15	Single groove 12.1, 11.3, 11	63, 28.1
2019L6	5, 3	19	Double grooves Left: 20.9, 15.3, 17.2 Right: 27.6, 13.6, 12.4	54.3, 58.3, 23.2
2019L21	Virgin, 3	11	No groove	50, 19.3
2019L24	Virgin, 3	12	Blowing room 22.2, 32.1, 22.4	44.7, 52.3, 27.2
2019L28	Virgin, 3	21	Double tunnels Left: 48.2, 9–35.6, 40 Right: 33.5, 9.3–34.5, 39.3	59.2, 44.5, 34.4

**Fig. 8** Photos and drawings showing the furnace of Type A, Type Ba and Type C. The evolution of furnace shape is visible from left to right

Only two Type A bowl furnaces were discovered (Fig. 8, left). A single exterior blowing groove was constructed on the upper part, the lower part comprising a bowl-shaped hearth. The lower side of the hearth is generally open for slag

tapping or removing the furnace contents. A layer of green–grey, sandy clay lining was applied on the bottom of the furnace.

Twenty-one Type B furnaces with double blowing grooves were discovered (Fig. 8, middle). Only one Type C furnace, with no visible blowing groove, was found (Fig. 8, right). The differences in blowing grooves indicate a technical innovation.

Some of the Type B furnaces were discovered with pits in which a blower was half-buried, which were classified as Type Bb and Type Bc.

Four Type Bb furnaces were discovered (Fig. 9). The upper part is a blowing pit with a rounded rectangular section and an inverted trapezoidal profile. The lower part is a hearth shaped like a dustpan, with an open lower side. Two underground symmetrical holes connected the two spaces. A lining was applied on the bottom of the hearth, sometimes extended to the holes.

Only one Type Bc furnace was discovered (Fig. 10). The upper part comprises two underground, inclined blowing pits, connecting with the hearth. The shape of the hearth is the same as Type Bb, and also lined.

Furnaces were constructed in groups during the late period at LBH. Two furnace groups were uncovered in this excavation. A furnace group is generally comprised of two levels of furnaces; the blowing grooves of the upper furnace are normal, but they are shorter or have disappeared when it comes to the lower rank of the furnace group (Fig. 11).

Type Bb and Type Bc furnaces were usually distributed independently, but in some instances there were ancillary facilities around them. For example, there are more than 10 postholes on the left, right and upper sides of Type Bb furnace L4, which is roughly rectangular with L4 as the centre. These postholes seem to have provided a simple shelter over the furnace. There is also an operating platform on the lower side. As another example, the lower side of Type Bc furnace L28 has a similar operating platform, and there are two round shallow pits on the lower left side, which are presumed to be ground moulds for casting pie-shaped ingots (Fig. 10).

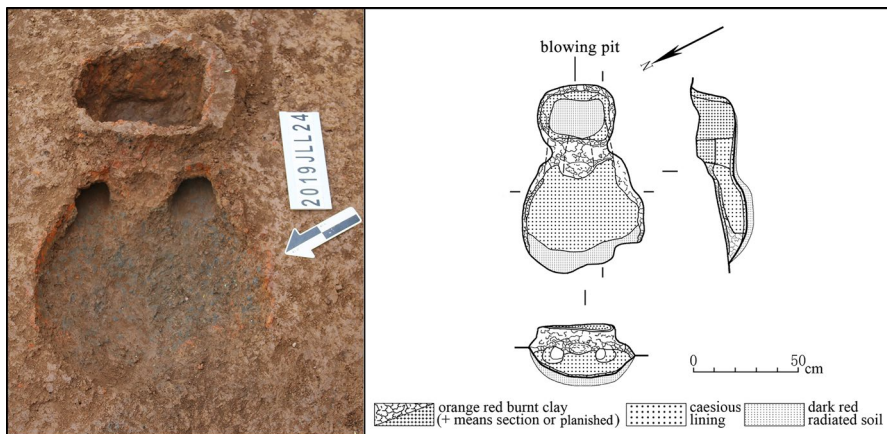


Fig. 9 Photo and drawing showing the furnace of Type Bb

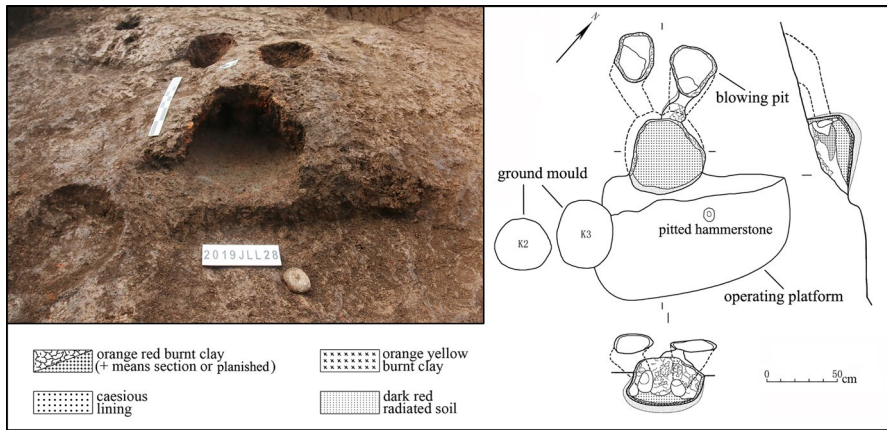


Fig. 10 Photo and drawing showing the furnace of Type Bc

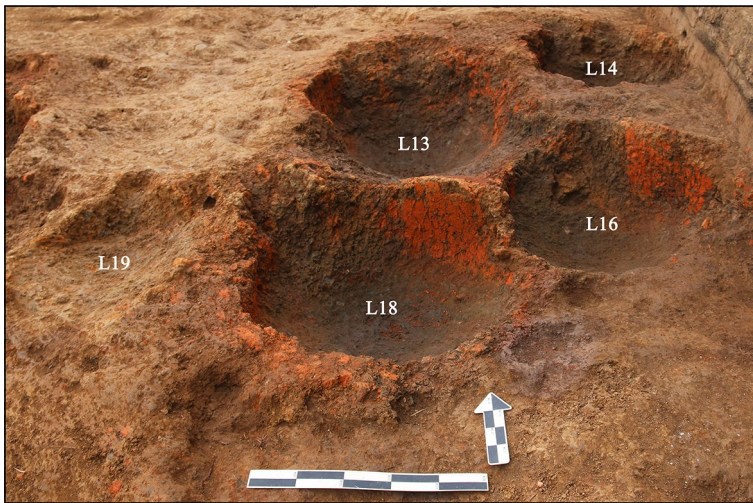


Fig. 11 One of the furnace groups at Location 3. L13, 14, 16, 18 are sealed by Layer 3; L19 is a remnant bottom, sealed by Layer 5, cut by L18

Lining

The base of all furnaces was coated with a caesious, clayey material that hardened up after heating (Fig. 12). Compared with the furnace wall that was not covered with this material, the wall with lining always appears to be a less-heated orange–yellow rather than orange–red, showing that this material had a heat-resistance function. It may have increased the endurance of the furnace, or reduced heat dissipation from the hearth.



Fig. 12 The double-layer caesious linings of furnace L27

For further analysis, some of this material was collected and ground into powder. Samples were examined by X-ray diffraction (Philips X' Pert Pro MPD DY129) at the Analytical and Testing Centre of Sichuan University. According to the XRD results, quartz is the main component of the material (Table 2), indicating knowledge of the physical properties of quartz. The quartz might come from copper-quartz-associated ore or river sand.

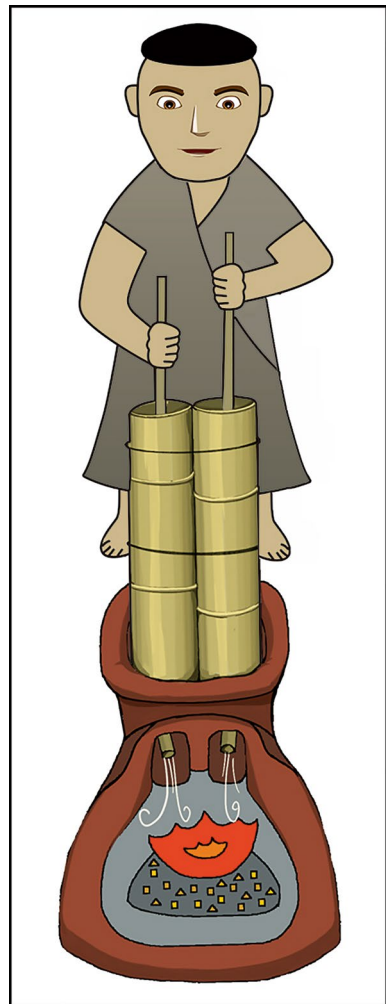
***Tuyères* and Bellows**

No *tuyères* or bellows have been unearthed. Given the lack of *tuyères* and bellows at the site and the location of furnaces packed on to the slope, the possibility of utilizing natural wind to drive the smelting should not be ruled out. However, the blowing grooves of Type A and the connective holes of Type B, could to some extent be regarded as fixed *tuyères*. Considering these structures, the bellows used should be similar to the cylindrical pistons used in Thailand and Laos (Evrard et al., 2016; Pryce et al., 2010). Similar bellows have been identified in USC. Song (2003) has described cylindrical piston bellows used in the iron-smelting activities of the Naxi nationality in northwest Yunnan. Qing Dynasty (AD 1844) sources have also recorded that this kind of bellows was recently used in south Yunnan (Wu & Ma, 2017).

The information mentioned above allowed the blowing method to be roughly reconstructed. The Type A and Type Ba furnaces might have involved tubes (probably of wood or bamboo), fixed in the grooves with clay, to guide the blast from bellows to the hearth. As for Type Bb and Type Bc furnaces, the bellows might have been partially buried in the blowing pits or tunnels (Fig. 13).

Table 2 Ingredients of lining samples collected from furnace and cultural layer

Excavation No	Compound Name	Chemical Formula	Semiquant [%]
2019JLL15	quartz, aluminium silicon oxide, rutile, magnesium silicate	$\text{SiO}_2, \text{Al}_{4.54}\text{Si}_{1.46}\text{O}_{9.73}, \text{TiO}_2, \text{Mg}_2\text{SiO}_4$	80, 12, 1, 7
2019JLL27	quartz, rutile, illite	$\text{SiO}_2, \text{TiO}_2, \text{K}(\text{Al}_4\text{Si}_2\text{O}_9(\text{OH})_3)$	40, 1, 59
2019JLTS5E3③:8	quartz, aluminium silicon oxide, magnesium silicate, iron titanate, rutile	$\text{SiO}_2, \text{Al}_{4.59}\text{Si}_{1.41}\text{O}_{9.7}, \text{Mg}_2\text{SiO}_4, \text{FeTiO}_3, \text{TiO}_2$	69, 12, 16, 2, 1

Fig. 13 Reconstruction of the blowing method for the Type Bb furnace

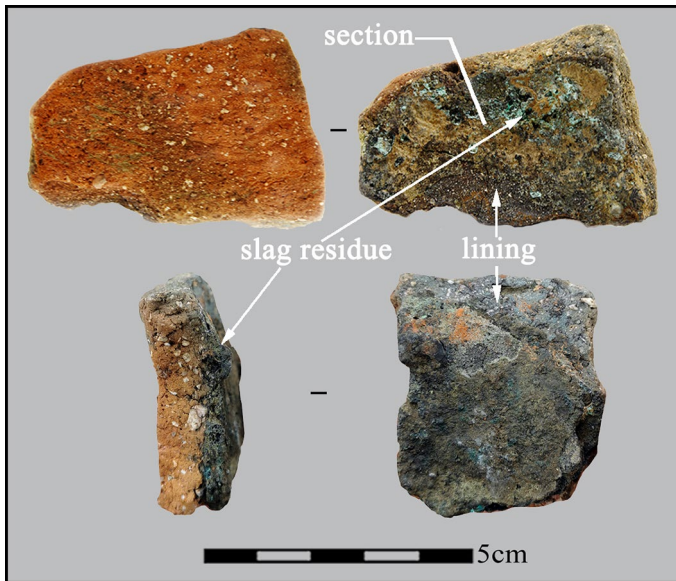


Fig. 14 Crucible fragments unearthed from Layer 4, Location 3

Crucibles and Moulds

No complete crucibles have been found, but several fragments with slag residue attached on the inner wall were recovered from Layer 4, Location 3 (Fig. 14). They are quartz-sand potteries, decorated with cord marks, around 1 cm thick. Unlike ordinary pottery, a thin caesious lining was applied on the inner wall. Given its thickness and quality, this kind of crucible may only be capable of holding or transferring the melt.

A thin section (Fig. 14) was prepared to check the lining. It was mounted in epoxy resin, ground and polished. The lining layer is roughly visible via the optical microscope (Leica CM6000M), but there seems to be no obvious boundary between the lining and pottery, indicating that this lining might have been prepared before sintering rather than applied later (Fig. 15).

Twelve moulds were recovered (Fig. 16). All are cambered-back bivalve moulds. Four are ceramic, made of a sandy clay, the back or pouring surface of some being decorated with patterns. There are eight sandstone moulds. Two broken stone moulds were recycled into a pitted hammerstone and a grinding stone.

One of the ceramic moulds appears to have been utilized for casting a waisted vessel, possibly for a bronze drum. One stone mould cast a curved-bladed spear or sword and another one was for a bell. The others are ambiguous, but many of the moulds have shallow, sharp casting cavities that seem to be for the blades of tools or weapons.

Two intriguing techniques were observed on those moulds (Fig. 18). One is the ‘closing technique’. Some of the moulds have pits on the back or top—the

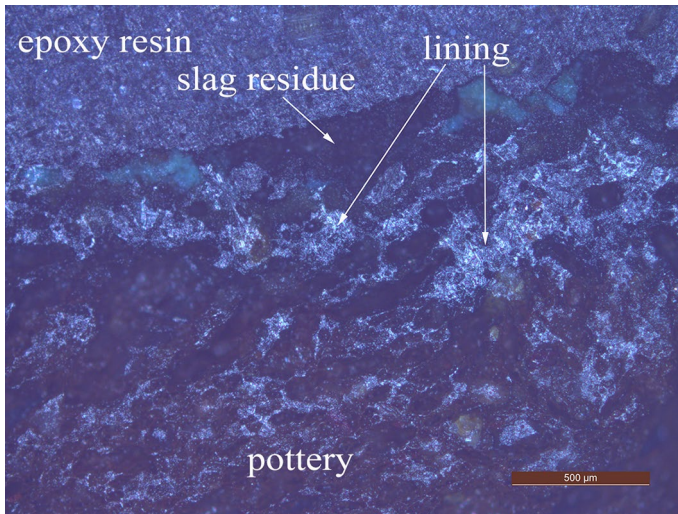


Fig. 15 Photo showing the section of crucible fragment

so-called ‘mould buckle’ (Fig. 16). There are black smoke marks on the back of all the moulds, and some are quite regular. There is no smoke mark between the buckles and the mould-closing lines, which signifies the use of the mould buckles. One possible scenario is that the buckles would have been filled with clay when it was used to fix the moulds before preheating. During the baking,

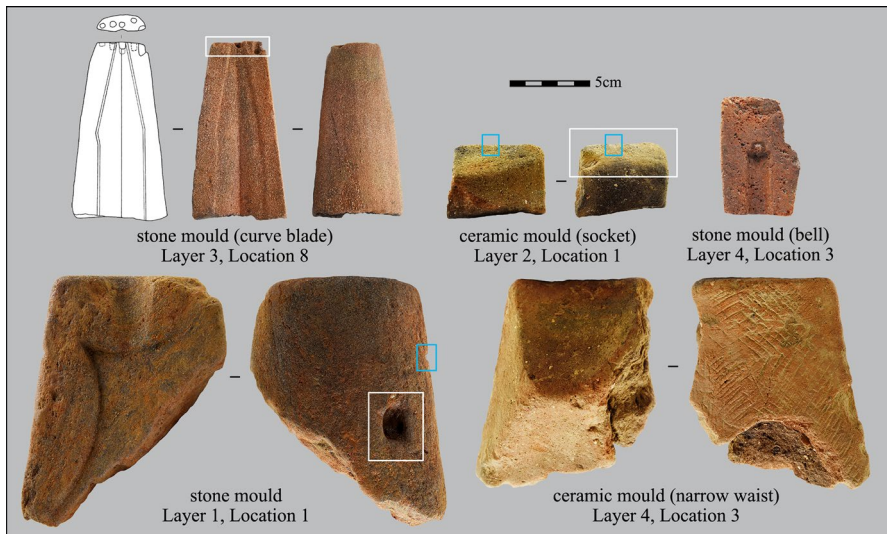


Fig. 16 Moulds unearthed at LBH. The white frames mark the mould buckles and the blue frames mark the calibrating line; the relationship between the burnt mark and mould buckle can be observed on the back of the second mould

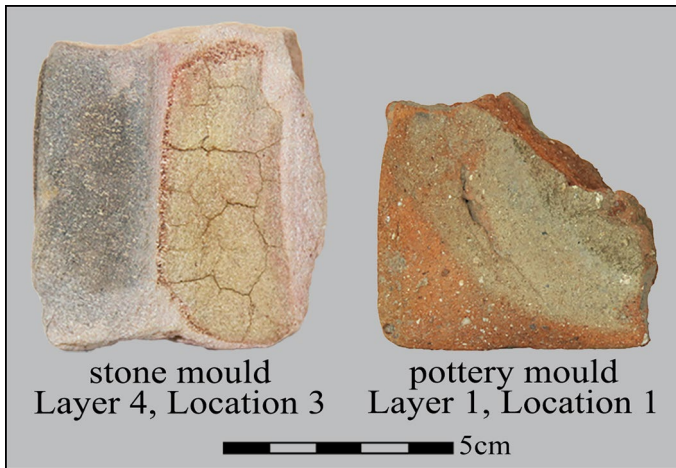


Fig. 17 Moulds unearthed at LBH. A yellow sandy lining was applied to the surface of the casting cavity

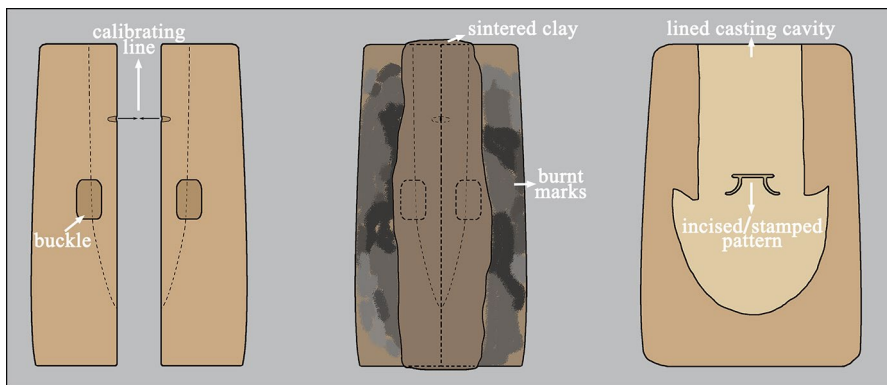


Fig. 18 Drawings showing the function of calibrating line, mould buckles and mould lining

the filling clay would have sintered, then the bivalves would have been fastened. In addition, a groove perpendicular to the closing line is sometimes observed in the upper part of the 'buckle'. That is the 'calibrating line' to accurately clamp together the bivalves (Figs. 16, 18).

Another example is the 'lining technique'. An artificial, yellow sandy lining layer was recognized on the surface of the casting cavity of two moulds (Fig. 17). The difficulty of accurately controlling the shape of the casting cavity is one of the defects of the stone moulds. This kind of lining layer seems to reduce this to some extent, and also allows more technical possibilities for the forthcoming cultural flourishing of the Bronze Age. Such possibilities include the casting of intricately patterned vessels by stamping and incising (Fig. 18), and the casting of vessels of the same shape but with different patterns.

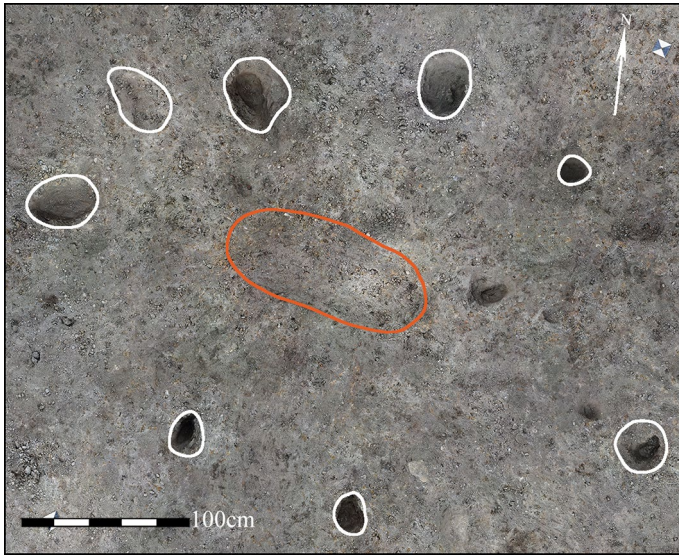


Fig. 19 Photo showing the structures found at Location 1. White circle for pile holes, orange for fire pit

The Layout of Processing and Production Units

An oval structure was revealed in the central part of Location 1 (F1) (Fig. 19). F1 is located in the middle of the hill, ringed by several posts, with a diameter of c. 4 m. There is an oval fire pit in the centre of the structure, which contains charcoal and burnt clay nodules, slag and animal bones. Similar vestiges were also found in Location 3.

On the basis of these discoveries, the hill can be regarded as a production locale: the central flat area on top was a simple and temporary residence; the slight downward slope is strewn with furnaces; and the dumping area of the smelting waste lies further below. Mine shafts were often encountered on the side near the valley bottom. Thus, the layout of smelting units is vertical, and is quite intensive rather than decentralized (Fig. 20).

The Chronology of Longbohe (LBH)

Few Bronze Age sites are known in the middle reaches of the Red River valley. Pottery similar to that from LBH was detected in the Mayutian cemetery in Gejiu, about 70 km upstream (Xiao & Wan, 2013) (Fig. 1). A curved-bladed spear that matches the mould unearthed at LBH was encountered. The human bones from the Mayutian cemetery have been dated to 2470 ± 35 BP, 2565 ± 25 BP and 2530 ± 40 BP (calibrated to 767–421 BC, 805–572 BC and 799–541 BC, 95.4% probability).

Sixteen samples from LBH were collected for AMS dating. Data calibration and Bayesian analysis were conducted with OxCal v. 4.4.2 and IntCal 20 (Ramsey, 2009;

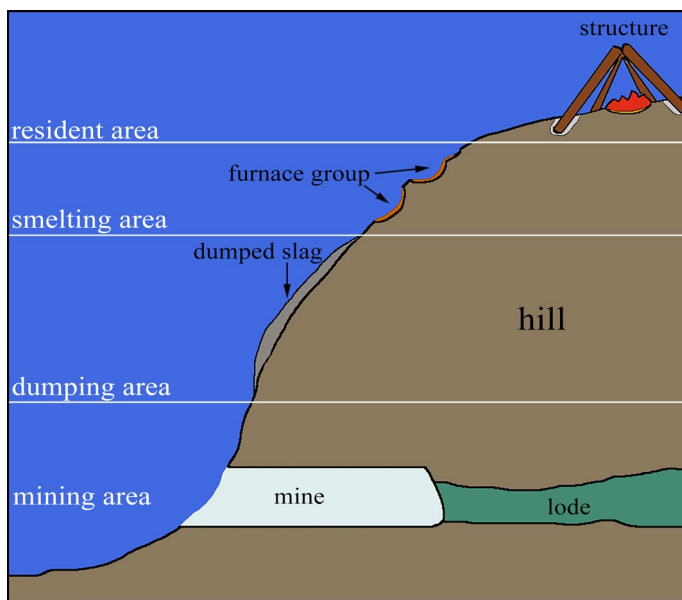


Fig. 20 Drawing showing the vertical layout of a processing and production unit

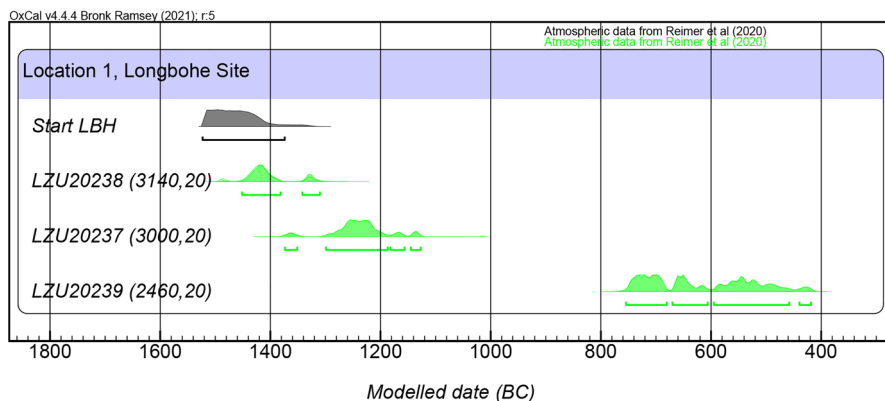


Fig. 21 Calibrated age for Location 1, Longbohe

Reimer, 2020). In addition to the chronological logic of dates' relationship to the observed stratigraphy, attention was paid to the chronological difference between the charcoal samples taken from the surface of the lining and the sample from furnace fill. The former is supposed to be residue from the last smelting activity and the latter is more likely to represent the age when the furnace was eventually abandoned and covered.

Three charcoal samples collected from Location 1 were tested (Fig. 21). One was acquired from the fill of furnace L1, dated within the radiocarbon Hallstatt

Plateau at 2460 ± 20 BP (755–420 cal BC) (LZU20239). The second was enclosed in a piece of slag found in furnace L1, dated 3140 ± 20 BP (1456–1384 cal BC) (LZU20238). The third was picked from Layer 3 (different from Location 3), dated 3000 ± 20 BP (1300–1189 cal BC) (LZU20237). From the perspective of stratigraphy, the furnace L1 was exposed on the surface and cut through the virgin soil capped by Layer 3. Thus, the dates of furnace L1 and Layer 3 are presumed to be contemporary and corroborate each other. The shape of furnace and the stone artefacts such as the bark cloth beater (most of which belong to a late Neolithic or early Bronze Age context in the neighbourhood: eg. Sichuan et al., 2016) found at Location 1 suggest that its age is earlier than that of Location 3,

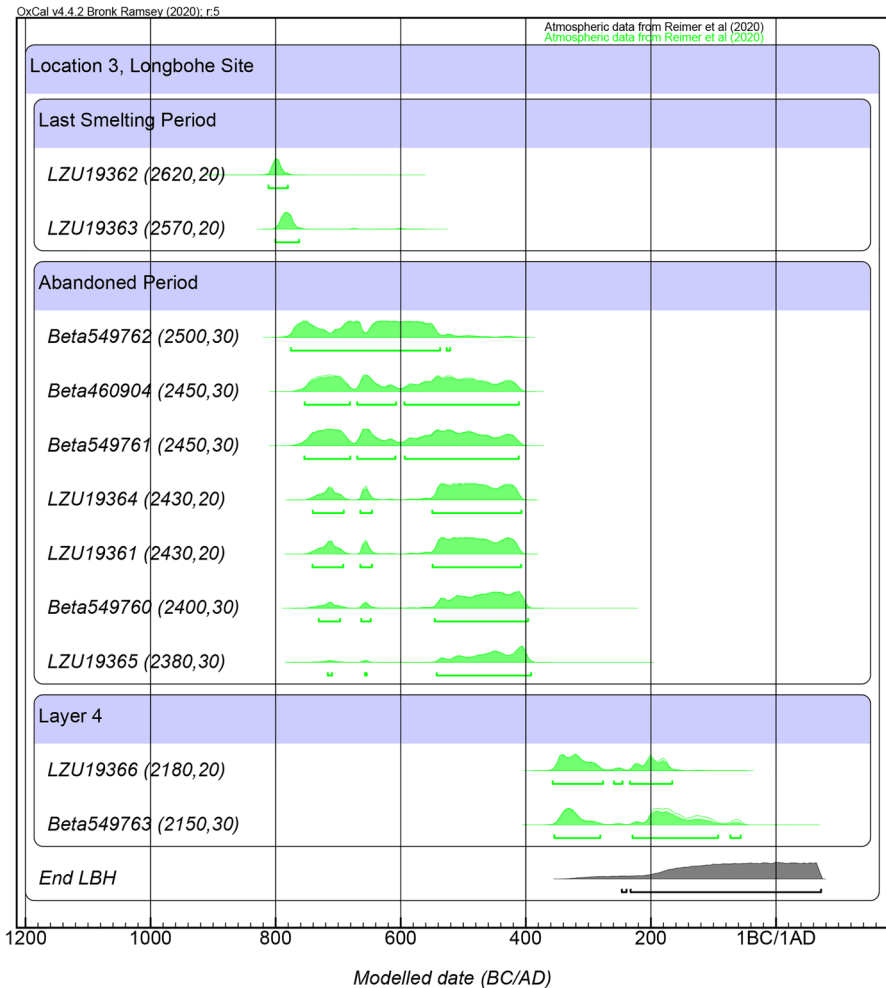


Fig. 22 Bayesian age model for Location 3, Longbohe

which is verified by the dates. Therefore, though the samples seem to be somewhat deficient, we consider them data.

Twelve charcoal samples collected from Location 3 were dated, including two from Layer 4, three from the surface of the lining (last smelting period) and seven from furnace filling (abandoned period). Bayesian modelling was carried out to demonstrate these samples' dating (Fig. 22). One sample collected from the surface of the lining, dated 3260 ± 20 BP (1609–1453 cal BC) (LZU19360), was excluded as it was regarded as old wood. It is unfortunate that no sample was obtained from the small-scale Layer 5, which represented a period when double-grooved bowl furnaces were sporadically used. It is therefore quite difficult to pin down the start boundary of Location 3, although the end is clear. A final sample was collected in the filling of a vestige at Location 9, dated 2450 ± 30 BP (754–412 cal BC) (Beta—460,903).

On the basis of these data, we can say that metallurgical activities began c. 1400 BC at LBH, and reached a peak c. 800–400 BC. The date ceiling of LBH is slightly later than the earliest bronze implements found on the Western Sichuan Plateau thus far (c. 1500 BC) (Tang & Miyamoto, 2013), and is contemporary with the earliest bronze or metallurgical implements on the Yunnan–Guizhou Plateau (c. 1400 BC) (Zhou, 2016), and just earlier than the upper limit of the Bronze Age in SEA (c. 1200 BC) (C. Higham & Cawte, 2021; C. Higham et al., 2015; C. Higham, T. Higham, Ciarla et al. 2011a; C. Higham, T. Higham & Kijngam 2011b; T. Higham et al., 2020). Therefore, a north-to-south diminishing chronological slope is evident (Lu, 2019).

The Patterns Revealed by the Longbohe (LBH) Site: The Upland Tradition

LBH provides us with new evidence for the progressive southward expansion of copper-base technologies from Southwest China into Southeast Asia (White, 1988). We suggest that some patterns of this production system can be described as an 'upland tradition' of Bronze Age metallurgical production in both regions.

The first metal specialists usually identified copper ores in upland locations. In addition to LBH, these include Tongshiling in Lingnan, Phu Lon in northeast Thailand and Vilabouly in Laos. Inselbergs in the Khao Wong Prachan Valley were being exploited by c. 1200 BC. These mines were linked to a smelting locale. The layout of smelting locales is vertical, as also seen at Liuchen and Luoxiu in the early Iron Age. Structures are located on hilltops. Smelting areas ringed by in-ground furnaces are found on the gentle slope near the top of the hill. Further downslope one encounters dumped smelting waste. Mines are found at the bottom of the hills, where lodes have been exposed by frequent running water and rain, making it easier to discover and exploit them.

Using in-ground furnaces to smelt is another significant feature of the 'upland tradition'. Sub-surface hearths with a lining and bowl shape were built on the sloping land. The lining is the foremost technical characteristic of these in-ground furnaces. In addition to those at Tongshiling, Liuchen and Luoxiu in Lingnan, such furnaces have been encountered at Dashan in Guizhou and Chongzipipo in Yunnan

(Dai & Zhang, 1998; Huang & Li, 2008; Meng, 2015; Yin, 1993; Zheng, 1990), and recently at Jicha in Yunnan (Fu et al., 2024). Before the discovery of LBH, these furnaces were often thought to be remnants of the base of shaft furnaces, but this now seems not to be the case. Such in-ground furnaces obviously played a crucial role in the Bronze Age of both USC and SEA.

Unique refractory technology is one of the technological cores of the ‘upland tradition’. Refractory material, a mixture of quartz sand with clay, was applied to the inner side of the hearths and crucibles to improve the heat resistance and preservation. In addition to those at LBH, lined furnaces have also been found at Tongshiling, Guangxi (Qin, 1985). Lined crucibles were first identified at Phu Lon by Vernon and subsequently he identified the lining at Ban Chiang and other sites in northeast Thailand (Vernon, 1996, 2019). At Ban Na Di in the same region, crucibles were found in direct association with hearths for melting bronze prior to casting (Cawte, 2012). In addition, a piece of crucible fragment with sandy lining was found at Jicha, northwest Yunnan (Fu et al., 2024). Though we cannot say for sure whether this technology was a local innovation or an exotic introduction, the refractory material found in USC and MSEA seems to have a common technological source.

The use of stone tools is also a feature of the ‘upland tradition’, especially when compared to the Shang and later metallurgical sites of the ‘Central Plains tradition’, as characterized by directed management and the separation of smelting and casting, with shaft-furnace smelting and ceramic- and piece-mould casting. The metallurgical sites of the ‘Central Plains tradition’ approximately in the same period as LBH are represented by Tonglushan (mainly 1200–400 BC) in Hubei, where mining tools are mainly made of bronze or even iron (Huangshi, 1999). However, stone mining tools are more common in metallurgical sites of the ‘upland tradition’, such as LBH, as well as at the Jicha site in northwest Yunnan, Tonggushan site in Guizhou and Phu Lon in northeast Thailand (Fu et al., 2024; Pigott & Weisgerber, 1998; Xi & Zhu, 1987). Preference for stone tools in the Metal Age is unusual and might be related to the particular way in which production and distribution were organized in upland society.

The casting technology of the ‘upland tradition’ includes two characteristics: the use of ceramic or stone bivalve moulds and ceramic crucibles. Charles Higham and colleagues (C. Higham, T. Higham, Ciarla et al. 2011a) have reviewed the stone moulds found in USC. Ceramic moulds may be more common in MSEA, and the early ceramic moulds in the USC can only be found at Jicha and Xiaolongjingpo, the Hejiashan burial, the Fengqingdao cemetery and the LBH site in Yunnan (Dali, 2013; Wang, 1998; Yunnan, 2017). Both ceramic and stone moulds have been encountered in these five sites. Large ceramic crucibles were encountered at Tonggushan in Guizhou (Cheng, 1993; Liu & Xiong, 1993), at Vilabouly in Laos (Cadet et al., 2019, 2021; Tucci et al., 2014) and in the Khao Wong Prachan Valley in Central Thailand (Pigott, 2019); all have no lining and are of a contrasting shape. Many moulds were found in residential rather than metallurgical sites, indicating that the mastery of smelting and casting technique might have had a different penetration in upland society.

In the light of the materials detailed above, areas including the Western Sichuan Plateau, the Yunnan–Guizhou Plateau, Western Lingnan and MSEA should be ascribable to the ‘upland tradition’. But these technological patterns do not rule out regional distinctions. Like the crucibles described above, the *tuyères* also display differences. Those unearthed in northwest Yunnan and southwest Sichuan are curved and decorated to resemble horse heads (Fu et al., 2024; Zhang, 2000), while the *tuyères* uncovered in Western Lingnan are no longer decorated like animals, although they retained the curved head (Qin, 1985; Sun et al., 1986). Interestingly, no *tuyères* are currently known from sites in MSEA.

The Route Along Which Metallurgy was Introduced: Longbohe as a Key Site

In the 1980s, Enzheng Tong suggested that in prehistory, northeast China and southwest China, on the rim of the Central Plains, had certain cultural elements in common, including microliths, stone tombs and stone constructions, which he characterized as typical of his ‘crescent-shaped cultural-communication belt’ (Hein, 2014; C. Higham., 2002 Tong, 1986) (Fig. 23). Tong surmised that a similar natural environment and contrasting ethnic identity facilitated the formation of this interaction belt (Tong, 1986). In the context of the Bronze Age, Tong pointed out that stone moulds and lost-wax casting, designs with animal themes and utilization of curved-bladed weapons were observed both in northeast and southwest China (Tong, 1986). These

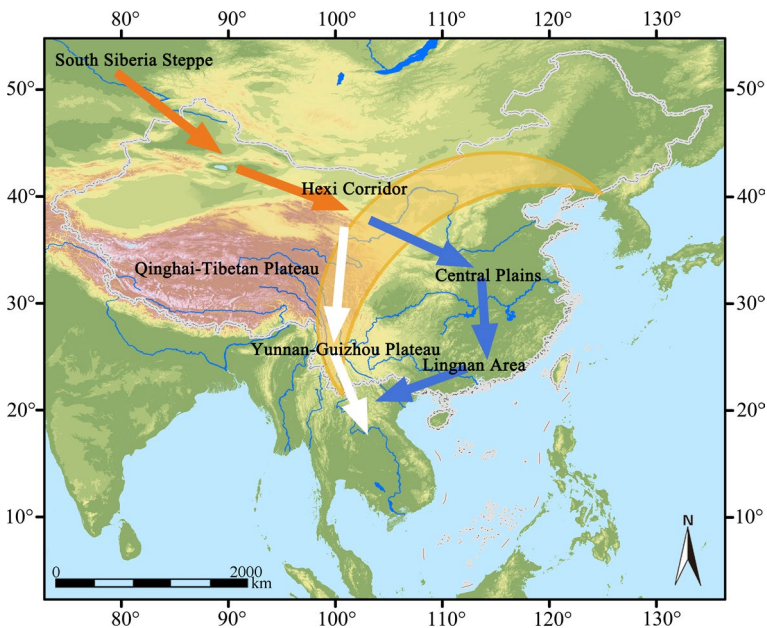


Fig. 23 Map showing Tong's Crescent Belt (yellow area); the probable route of the transmission of copper-base technology from the Steppe into northwest China (orange arrows); the hypothesized route of the 'long chronology' (white arrows) and the 'short chronology' (blue arrows)

similarities actually indicated the eastward and southward spread of metallurgy after it had entered northwest China. Tong was one of the first scholars to propose the possibility of a southward metallurgical spread via USC.

White and Hamilton also recognized this southward route (2009) (Fig. 23). They hypothesized that metalworkers—or the metalworking tradition—of the Seima-Turbino horizon, arrived via the Qijia culture, located in Gansu Province, northwest China, and might have infiltrated Sichuan along the south-flowing rivers, arriving in northwest Yunnan through the Western Sichuan Plateau. Then the immigrants, or the technology, diffused downstream along the rivers flowing through northwest Yunnan, finally reaching Ban Chiang in northeast Thailand by 2000–1800 BC. However, in the light of the new radiocarbon determinations from multiple sites in China, including Longbohe and Jicha, we place the early copper-base technology in the middle and late second millennium BC.

This later diffusion route involving Sichuan and Yunnan is supported by the horsehead-shaped *tuyères* unearthed at Dajing in Inner Mongolia, northeast China (a metallurgical site of the Xiajiadian culture, c. 1050–800 BC), and also at Hejiashan in Yunnan, southwest China (Wu, 1983; Zhang, 2000), which suggests that they may have shared a common technological origin, probably in northwest China. The information provided by LBH is also supportive of the ‘upland route’. In terms of furnaces, those found at LBH are more similar in shape and structure to furnaces in the Bronze Age of the Eurasian Steppe (Koryakova & Epimakhov, 2014), although there is a large geographical gap, especially considering the contrasting above-ground shaft furnaces popular in the middle reaches of the Yangtze River during the Bronze Age (Lu & Hua, 1981). In-ground furnaces with a terraced layout similar to



Fig. 24 Map showing metallurgical similarities and their connections with the rivers

those at LBH were also found at Dajing (Wu, 1983). Pitted hammerstones—similar to those discovered at LBH—were mentioned in Tong’s vision of the ‘crescent-shaped cultural-communication belt’, and also appeared at Dajing (Tong, 1986), perhaps representing the mining and processing tools in the same technological system as the in-ground furnace and the horsehead-shaped *tuyère*.

So by what route was metallurgy transmitted into MSEA? Longbohe provides relevant new data, sharing as it does some cultural elements with Bronze Age sites in northeast Thailand (Fig. 24). For example, oval stone hammers similar to those found at LBH were encountered at the metallurgical site of Jicha on the upper Mekong River (Fu et al., 2024) and the prehistoric copper-mining site of Phu Lon on the middle Mekong River (Pigott & Weisgerber, 1998). The lagged crucibles from LBH are virtually identical with those from Ban Non Wat, Phu Lon and Ban Chiang (Cawte, 2012; Vernon, 1996, 2019). How did these long-distance similarities emerge? A common source may be a relatively plausible explanation. Along with many major rivers that originate in or flow through Yunnan, the junction of the Red River and the Mekong River is located in northwest Yunnan (Pigott & Ciarla, 2007, Fig. 7). It is there that one encounters the arrival of copper technology at Haimenkou and related sites (Yao et al., 2020). The Shweli River links nodal Yunnan with the Irrawaddy catchment and the dry zone of central Myanmar. Also, the mining complex at Jicha on the bank of the upper Mekong River, one of the newest metallurgical sites excavated by the authors, corroborates the aforesaid hypothesis (Fu et al., 2024). The inexorable spread of this new technology finds its logical expression in the opening of the Longbohe copper mines from c. 1400 BC.

Conclusions

Longbohe is a Bronze Age copper production site that involved mining, smelting and casting. It dates between c. 1400 BC and 100 BC, yet metallurgical activities ceased around 400 BC. Its technological system includes: the mining and ore-processing technique composed of pitted hammerstones and ore-grinding stones; in-ground furnace construction; the refractory technique applied to the interior of furnaces and crucibles; the processing and production unit layout adjusted to hilly conditions; the continuously improved blowing technique using wooden/bamboo piston bellows to drive the smelt; and casting with lined crucibles and ceramic or stone moulds.

LBH provides an important case study for understanding the related metallurgical technology systems in USC and Southeast Asia. The southward expansion of metallurgy naturally began by prospecting for promising copper ore sources, which are always found in upland terrain. Mining and processing involved stone mauls and in-ground furnaces (mainly bowl furnaces) for smelting. Furnaces and crucibles were lined for increased refractoriness. Longbohe thus presents the same mining and smelting practices as have been identified at Vilabouly in Laos, Phu Lon and the Khao Wong Prachan Valley in Thailand, sites located 700–900 km to the south.

The location and chronology of LBH support the model of a transmission of metallurgical expertise from Yunnan into the Red River delta region, and beyond into wider Southeast Asia. The employment of in-ground furnaces and pitted

hammerstones argue for an ‘upland route’ along which metallurgy arrived in USC from the eastern Eurasian Steppe via Northwest China and along the eastern Qinghai–Tibet Plateau. On the other hand, the similarities between LBH in the Red River Basin and Bronze Age sites in the Mekong River Basin also focus the technology source upstream along the rivers, that is in northwest Yunnan. In terms of chronology, the Yunnan–Guizhou Plateau, including LBH, had entered the Bronze Age of the region in 1600–1400 BC, while the sites at the end of the transmission route, such as Phùng Nguyên, Non Pa Wai, Ban Chiang and Ban Non Wat in the lower reaches of Red River or Mekong River, were later (C. Higham & Cawte, 2021; C. Higham et al., 2015; T. Higham et al., 2020; Pigott, 2019). While Longbohe supports the Red River as a major transmission route, it does not rule out other lines of communication followed by skilled metalworkers, including those from Central Yangtze to Lingnan and the Pearl River to Vietnam by following the coast.

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Declarations

Conflict of interest The authors have no competing interests to declare that are relevant to the content of this article.

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